

UNITED STATES PATENT APPLICATION

Title:

**DISTRIBUTED USAGE METERING OF MULTIPLE NETWORKED DEVICES**

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Docket No.: 42390.P13583

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“Express mail” label no. ET616076365US

# DISTRIBUTED USAGE METERING OF MULTIPLE NETWORKED DEVICES

## Background of the Invention

### Technical Field of the Invention

The present invention relates generally to networking services, and particularly to a metering device for such.

### Background Art

Network service providers desire to meter usage of their networks and servers, in order to provide load balancing, prevent fraud, enable accurate billing, and so forth. To date, there are two known metering models: ultra-fine-grain (UFG) and centralized.

FIG. 1 illustrates a system 10 employing a UFG metering system. Each of the numerous customers 12 such as residences or businesses has a network device 14 which generates and receives network traffic. In some systems, these network devices may be personal computers, cable television set-top boxes, or any other network devices. In the UFG model, each customer is provided with a metering device 16 networked to the one or more network devices at that customer's location. The metering devices and/or network devices are networked to a central service provider server 20 over one or more networking media using one or more networking protocol.

Examples of networking media include digital subscriber line (DSL), coaxial cable, PhonePNA, HomePNA, fiber distributed data interface (FDDI), twisted pair, Ethernet wire, IEEE 802.11 wireless, Bluetooth, HFC, GPRS, 3G, satellite, and so forth. Examples of networking protocols include TCP/IP, asynchronous transfer mode (ATM), AppleTalk, Token Ring, and so forth. The service provider server may, in turn, be connected to other networks and other servers. The service provider server performs networking services, data delivery, billing, and so forth, and also gathers and collates data reported by the multitude of metering devices 16. In many cases, the service provider server may be embodied as more than one server of different types, such as a primary server, a backup server, a billing system, a firewall, a front-end, a head-end, a back-end, a provisioning server, an encryption and authentication server, and so forth.

FIG. 2 illustrates a system 22 employing a centralized metering system, in which each customer's location 12 is equipped with a networking device 14 but not a metering device. The metering is all done by the central service provider server 24.



FIG. 4 illustrates a single-network embodiment of the metering device of this invention.

FIG. 5 illustrates a dual-network embodiment of the metering device of this invention.

FIG. 6 illustrates an embodiment of the metering device of this invention, configured to also provide hub/switch/router services.

FIG. 7 illustrates one embodiment of the metering device of this invention.

FIG. 8 illustrates one exemplary method of operation of the metering device of this invention.

### Detailed Description

FIG. 3 shows a system 26 in which each customer 12 has one or more network devices 14 coupled over suitable network media and protocol to the service provider's server 28. Metering is provided in a distributed usage (DU) metering manner, in which the metering is performed by a plurality of metering devices 30. Each metering device can be connected to more than one customer. Thus, the DU model employs fewer metering devices than the UFG model, but more than the single metering device (server) of the centralized model.

As new users are added to the DU system,

(a) the increased metering workload placed on the server is reduced by a factor of N, as compared to the centralized model, and

(b) the increased expense of purchasing new meters is reduced by a factor of N, as compared to the UFG model,

wherein N is the number of customers connected to a DU meter 30. N may, of course, be a variable number; it is not required that each DU meter have the same number of customers.

In the UFG model, the average number of customers per metering device is 1. In the centralized model, the average number of customers per metering device will typically be in the range of 512-10,000. In the DU model, the average number of customers per metering device will typically be in the range of 2-512; more commonly, it will be in the range of 4-128; and often, it will be in the range of 8-32.

In this sense, "customers" can mean subscribing persons, or it can mean subscribing devices, or the like.

In the DU model, the DU meters perform metering services for their respective customers, and then report their data or results to the central server, which may roll the data up into a single report or calculation.

1           There are various connection schemes whereby a metering device may be connected to a  
2 network.

3           FIG. 4 shows a system in which the metering device 32 is coupled to a single network  
4 (“network”). In this embodiment, the metering device is coupled as a passive listening device, which  
5 simply monitors the network packets traveling to and from any and all of the network devices 14  
6 which are connected to that same network.

7           FIG. 5 shows a system in which the metering device 34 serves as the connection point or  
8 gateway between one network (“network A”) and another network (“network B”). In this  
9 embodiment, the metering device performs both gateway and metering services for the network  
10 devices 14 connected to one of the networks (“network A”).

11           FIG. 6 shows a system in which the metering device 36 serves as the router or switch or hub  
12 between two or more networks (“network A” through “network D”). In this embodiment, the  
13 metering device performs both router/switch/hub and metering services for the network devices 14  
14 coupled to each of the networks, or coupled to a subset of the networks.

15           FIG. 7 shows one exemplary embodiment of a metering device 40 (“Distributed Usage  
16 Meter”) which incorporates the principles of this invention. The metering device 40 may be  
17 configured in any suitable configuration, such as one of those shown in FIGS. 4-6. The metering  
18 device includes one or more network interfaces 42a-d for connecting the network device to one or  
19 more corresponding networks 43a-d, which may use the same transport medium or different  
20 transport media, and which may use the same networking protocol or different networking protocols,  
21 as needed in the application at hand.

22           The metering device may in some embodiments further include a switch or hub or router  
23 mechanism 44 coupled to the network interfaces, to perform switch/hub/router functionality.

24           The metering device may in some embodiments also include a separate control interface 46  
25 for sending and receiving metering control commands, signals, and data. In some embodiments, the  
26 control interface may share a same physical networking medium with one or more of the attached  
27 networks, and the metering commands etc. may be sent and received over one or more of the  
28 network interfaces, such as via the Simple Network Management Protocol (SNMP). In some  
29 embodiments, both the shared network/control interface and a dedicated control interface may be  
30 employed, such as, for example, to permit remote control via the conventional network interface and  
31 local operator control via the dedicated control interface such as from a keyboard. In some

1       embodiments, the control interface may connect to a dedicated command link 47 which is distinct  
2       from the physical network media.

3       The metering device may, in some implementations, include a display interface 48 for  
4       connecting the metering device over a display link 49 to a video or other suitable display mechanism  
5       (not shown), such as for use by a local operator. In some embodiments, video and other output may  
6       instead be sent over the network interface and/or the control interface. In other embodiments, any or  
7       all of these may be present in combination.

8       A packet header analyzer 50 performs the basic packet identification functionalities of the  
9       metering device. The packet header analyzer may, for example, analyze each network data packet to  
10      determine the identity of the network device which sent the packet, the identity of the network device  
11      which is to receive the packet, the communication protocol used by the packet, and so forth. In some  
12      embodiments, the packet header analyzer may be built into the switch/hub/router, while in others it  
13      may be standalone logic.

14      Coupled to the packet header analyzer is a mechanism for maintaining a detected device list  
15      52, which keeps track of network devices that have sent and/or received network packets. This list  
16      may be maintained in any conventional manner, such as in a table, a linked list, and so forth. The list  
17      mechanism may include memory and/or bulk storage for maintaining the list.

18      Also present is a memory and/or bulk storage mechanism for storing weight definitions 54.  
19      These weight definitions comprise a collection of rules, formulas, Boolean values, logical operations,  
20      or the like, for assigning or calculating a “weight” to one or more aspects of each packet analyzed by  
21      the packet header analyzer.

22      Characteristics by which the metering device may track packets, and per which the metering  
23      device may assign weights to those packets, include but are not limited to: communication protocol,  
24      packet size, time that the packet was sent, time that the packet was received, current average network  
25      throughput, current peak network throughput, total number of bytes transferred, total number of  
26      bytes transferred since some particular time or event, number of packets transferred that are in a  
27      given size range, traffic to or from particular addresses or ports or networks or sub-nets or network  
28      devices or categories of such, average or peak percentage of network utilization, average or peak  
29      number of TCP sessions open, average or peak traffic level of a particular protocol, percentage mixes  
30      of specified protocols among the current network traffic, or any other characteristic which the system  
31      designer deems worthy of metering.

1 A weight calculator 56 is coupled to the list of weight definitions, and performs the weight  
2 calculations, formulas, or the like. A packet weight history memory or storage 58 stores these  
3 weights for one, some, or all of the network devices whose packets are being analyzed.

4 The weight definitions may be dynamically updated, either in response to internal logic (not  
5 shown) within the metering device, or in response to an externally received control command. For  
6 example, the network service provider may find it advantageous to track and bill by data type during  
7 the day, but by byte or packet count at night. Or, the network service provider may assign heavier  
8 metering weight to video during the day than it does at night or at times when network usage falls  
9 below some predetermined threshold. The skilled reader will readily appreciate that there are  
10 numerous ways in which a dynamically alterable set of weight definitions may be advantageous, and  
11 will be able to select a dynamic alteration scheme to suit the particular needs of the network system  
12 at hand.

13 Similarly, it will be within the skill of the ordinary system designer to choose appropriate  
14 sizes, interfaces, speeds, protocols, and so forth, for these memories and/or bulk storage devices.

15 The metering device further includes one or more clock mechanisms 60, such as a real time  
16 clock, a resettable elapsed time clock, a watchdog timer, and so forth. The data output by these  
17 clocks may be used by the weight calculator in performing its weighting operations, and may prove  
18 useful elsewhere, as well.

19 The reader will further appreciate that the metering device shown in FIG. 7 is only by way of  
20 illustration, and that numerous differently-constructed embodiments of such devices will be  
21 appreciated in light of the teachings of this patent, when viewed in the context of designing a new  
22 metering device or a new network. Various enhancements and optional features have been omitted,  
23 for the sake of clarity.

24 FIG. 8 illustrates one exemplary embodiment of a method (80) of operation of such a  
25 metering device. The reader may also wish to refer simultaneously to FIG. 7. Upon detection (82) of  
26 a newly-arrived packet or a next-to-be-analyzed packet, the packet header analyzer determines (84)  
27 the identity of the device sending the packet and the identity of the device receiving the packet. The  
28 metering device searches (86) the detected device list to determine whether these devices are already  
29 known to the metering device. If (88) the receiving device or the sending device has not previously  
30 been encountered (or has not been encountered since the detected device list was reset, or since that  
31 device's entry was flushed, etc.), that device is added (90) to the detected device list.

1 The weight calculator receives data from the packet header analyzer, regarding each of the  
2 characteristics upon which it will weight the packet, gets (92) the weight definitions from the weight  
3 definition list, and calculates (94) the respective weights for those indicated characteristics. The  
4 metering device then writes (96) these results to the packet weight history record(s) for the sending  
5 network device and/or receiving network device, as appropriate and in accordance with the weight  
6 definition rules.

7 The operation, initialization, resetting, flushing, and so forth of the packet weight history are  
8 very application-dependent, and will be appreciated by the skilled reader when designing the  
9 networking system in light of these teachings. In some applications, it will be desirable for the  
10 history to be maintained over a long period of time, or perhaps even in perpetuity. In other  
11 applications, it will be desirable that some or all of the history be periodically reset to start afresh.  
12 For example, in some cases it may be beneficial to track, for each network device, a total byte count  
13 sent to or from that network device since the billing period began, while resetting the percentage of  
14 network utilization metric every few minutes to allow for a more on-the-fly adjustment of bandwidth  
15 allocation.

16 The skilled reader will also appreciate that the ratio of network devices to metering devices is  
17 application-dependent. In various system embodiments, ratios of 2:1, 4:1, 8:1, 12:1, 15:1, 100:1, or  
18 other ratios may be desirable, when balancing the cost of purchasing the required number of  
19 metering devices against the cost of scaling the network servers. Furthermore, the skilled reader will  
20 readily appreciate that it is not necessary that all segments of the network have the same metering  
21 device ratio. For example, it may be found beneficial to use a different ratio for residential customers  
22 than for business customers, or a different ratio in town than in the countryside, or a different ratio in  
23 the LAN than on the WAN, and so forth.

24 The reader should appreciate that drawings showing methods, and the written descriptions  
25 thereof, should also be understood to illustrate machine-accessible media having recorded, encoded,  
26 or otherwise embodied therein instructions, functions, routines, control codes, firmware, software, or  
27 the like, which, when accessed, read, executed, loaded into, or otherwise utilized by a machine, will  
28 cause the machine to perform the illustrated methods. Such media may include, by way of illustration  
29 only and not limitation: magnetic, optical, magneto-optical, or other storage mechanisms, fixed or  
30 removable discs, drives, tapes, semiconductor memories, organic memories, CD-ROM, CD-R,  
31 CD-RW, DVD-ROM, DVD-R, DVD-RW, Zip, floppy, cassette, reel-to-reel, or the like. They may



1 alternatively include down-the-wire, broadcast, or other delivery mechanisms such as Internet, local  
2 area network, wide area network, wireless, cellular, cable, laser, satellite, microwave, or other  
3 suitable carrier means, over which the instructions etc. may be delivered in the form of packets,  
4 serial data, parallel data, or other suitable format. The machine may include, by way of illustration  
5 only and not limitation: microprocessor, embedded controller, PLA, PAL, FPGA, ASIC, computer,  
6 smart card, networking equipment, or any other machine, apparatus, system, or the like which is  
7 adapted to perform functionality defined by such instructions or the like. Such drawings, written  
8 descriptions, and corresponding claims may variously be understood as representing the instructions  
9 etc. taken alone, the instructions etc. as organized in their particular packet/serial/parallel/etc. form,  
10 and/or the instructions etc. together with their storage or carrier media. The reader will further  
11 appreciate that such instructions etc. may be recorded or carried in compressed, encrypted, or  
12 otherwise encoded format without departing from the scope of this patent, even if the instructions  
13 etc. must be decrypted, decompressed, compiled, interpreted, or otherwise manipulated prior to their  
14 execution or other utilization by the machine.

15 Reference in the specification to "an embodiment," "one embodiment," "some  
16 embodiments," or "other embodiments" means that a particular feature, structure, or characteristic  
17 described in connection with the embodiments is included in at least some embodiments, but not  
18 necessarily all embodiments, of the invention. The various appearances "an embodiment," "one  
19 embodiment," or "some embodiments" are not necessarily all referring to the same embodiments.

20 If the specification states a component, feature, structure, or characteristic "may", "might", or  
21 "could" be included, that particular component, feature, structure, or characteristic is not required to  
22 be included. If the specification or claim refers to "a" or "an" element, that does not mean there is  
23 only one of the element. If the specification or claims refer to "an additional" element, that does not  
24 preclude there being more than one of the additional element.

25 Those skilled in the art having the benefit of this disclosure will appreciate that many other  
26 variations from the foregoing description and drawings may be made within the scope of the present  
27 invention. Indeed, the invention is not limited to the details described above. Rather, it is the  
28 following claims including any amendments thereto that define the scope of the invention.